

I hereby certify that this correspondence is being hand-delivered to:  
Examiner Teresa D. Wessendorf/ Examiner Andrew J. Wang  
United States Patent and Trademark Office  
Art Unit 1639  
1911 S. Clark Place  
Crystal Mall One, 7<sup>th</sup> Floor  
Arlington, VA 22202  
703-308-3967

On: October 8 2003

By: [Signature]

Printed Name: Mercedes K. Meyer

Attorney Docket No. 0155.130US  
(formerly 18097-030310US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Juha Punnonen et al.

Application No.: 09/724,869

Filed: November 28, 2000

For: OPTIMIZATION OF  
IMMUNOMODULATORY  
PROPERTIES OF GENETIC  
VACCINES

Examiner: Teresa D. Wessendorf

Art Unit: 1639

**THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §§ 1.97  
AND 1.98**

Examiner Teresa D. Wessendorf  
Examiner Andrew J. Wang  
United States Patent and Trademark Office  
Art Unit 1639  
1911 S. Clark Place  
Crystal Mall One, 7<sup>th</sup> Floor  
Arlington, VA 22202

Dear Madam/Sir:

The three references cited on attached form PTO/SB/08A-B are being called to the attention of the Examiner. Although these three references are not mentioned in the "Request by Applicants for Interference Pursuant to 37 C.F.R. § 1.607" submitted concurrently herewith, Applicants respectfully request that the cited information be expressly considered during the prosecution of this application and these references be made of record therein and appear among the "references cited" on any patent to issue therefrom. Copies of these three references are enclosed.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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10/9/03  
10-803

Application No. 09/724,869

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

A Fee Transmittal Form is submitted concurrently herewith, authorizing the Commissioner to deduct any required fee from, or credit any overpayment to, the undersigned's Deposit Account No. 50-0990.

Respectfully submitted,

October 8, 2003

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<b>Substitute for form 1449A-B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>	<b>Complete if Known</b>	
	Application Number	<b>09/724,869</b>
	Filing Date	<b>November 28, 2000</b>
	First Named Inventor	<b>Juha Punnonen</b>
	Group Art Unit	<b>1639</b>
	Examiner Name	<b>Teresa Wessendorf</b>
	Attorney Docket Number	<b>0155.130US</b>

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	6,368,861		Crameri et al.	04-23-2002	
	2	6,406,855		Patten et al.	06-18-2002	
	3	6,613,514		Patten et al.	09-02-2003	

FOREIGN PATENT DOCUMENTS								
Exami ner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office	Number	Kind Code (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.